

ML approaches for Observation and Control under partial information with applications

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- Abstract dynamical system (state $X \in \mathcal{X}$ and control $U \in \mathcal{U}$)²

$$\begin{cases} \frac{d}{dt}X(t) = F(X(t), U(t)), & t \in \mathbb{R}^+, \\ X(0) = X_0, \end{cases} \quad (1)$$

- **Exact controllability** consists in driving system from an initial state to a given target state in a given time, i.e.

$$\forall X_0 \in \mathcal{X}, \quad \forall X_1 \in \mathcal{X}, \quad \forall T > 0, \quad \exists (t \in [0, T] \mapsto U(t)) \in \mathcal{U}, \\ X \text{ solution to (1), } X(T) = X_1, \quad (2)$$

- **Optimal control** consists in driving system while keeping a certain cost minimal

$$\text{Find a minimiser } U_m \text{ of } C(U) := \int_0^T R(X(t), U(t))dt + P(X(T)), \quad (3)$$

among all admissible control strategies

- **Asymptotic stabilisation** suppose the existence of a couple $(X_e, U_e) \in \mathcal{X} \times \mathcal{U}$ such that $F(X_e, U_e) = 0$ and provide a function $\mathbb{U} : \mathcal{X} \mapsto \mathcal{U}$ such $\mathbb{U}(X_e) = U_e$ for which

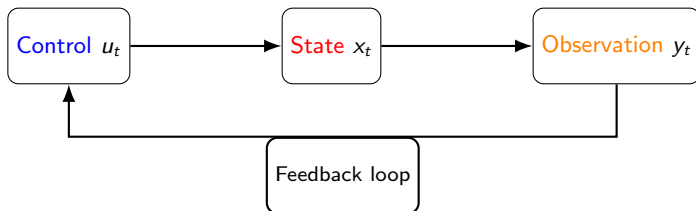
$$\frac{d}{dt}X(t) = F(X(t), \mathbb{U}(X(t))), \quad t \in \mathbb{R}^+, \quad X(0) = X_0, \quad (4)$$

is asymptotically stable

²a key aspect of mathematical analysis consists in selecting suitable spaces $\mathcal{X}, \mathcal{U}$

A central problem: we do not observe everything

- Most real-world dynamical systems have a hidden state: x_t is not fully observable
- Instead, we only observe $y_t = h(x_t) + \varepsilon_t$
- We then choose actions u_t



Our problem

Observe hidden states and **control system** using only *partial and noisy* measurements

A unified learning problem

- Learn from data the **unknown** dynamics

$$\frac{d}{dt}x = f(x, u)$$

- **Estimate** hidden variables

$$\hat{x}_t = \mathcal{O}_\alpha(y_{0:t}, u_{0:t})$$

- Choose **actions**

$$u_t = \pi_\theta(\hat{x}_t)$$

Learn $\longrightarrow$ *Observe* $\longrightarrow$ *Control*

From Supervised Learning to Neural ODE

- Given data $(x_i, y_i)_{i=1, \dots, N}$ we learn a function $f_\alpha : x \mapsto y$ by minimizing

$$\min_{\alpha} \sum_{i=1}^N \mathcal{L}(y_i, f_\alpha(x_i))$$

- Dynamical systems evolve continuously in time

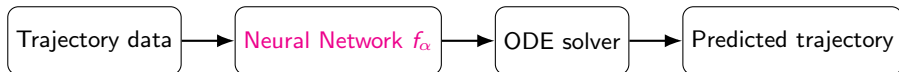
$$\frac{dx(t)}{dt} = f_\alpha(x(t), u(t), t)$$

- Starting from initial data $x(0)$ the predicted state is obtained by integration

$$x_\alpha(t) = x(0) + \int_0^t f_\alpha(x(s), u(s), s) ds$$

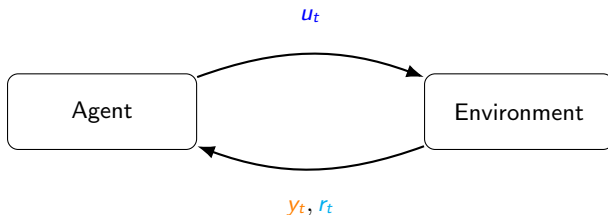
- Training compares predicted and observed trajectories

$$\min_{\alpha} \sum_k \|x_{\text{data}}(t_k) - x_\alpha(t_k)\|^2$$



From prediction to decision: Reinforcement Learning

- In Reinforcement Learning, an agent interacts with an environment



- The agent learns a policy $u_t \sim \pi_{\theta}(\cdot \mid y_t)$ to maximize expected cumulative reward

$$\max_{\theta} \mathbb{E} \left[\sum_{t=0}^T \gamma^t r_t \right]$$

Observation y_t $\longrightarrow$ Action u_t $\longrightarrow$ Reward r_t

- ① The objective of **state reconstruction** is to construct an estimator

$$\hat{x}_t = \mathcal{O}_\alpha(y_{0:t}, u_{0:t})$$

Possible ML approaches for state reconstruction

- Recurrent Neural Networks
- Neural ODE
- Physics-Informed Neural Networks
- Hybrid mechanistic + ML models ³

- ② **Controlling** with incomplete observation via an estimated state

$$u_t = \pi_\theta(\hat{x}_t)$$

Possible ML approaches for control

- POMDP
- recurrent RL
- belief-state methods
- model-based RL
- observer-controller architectures

³purely mechanistic models are interpretable, based on physical knowledge but may contain modeling errors while purely data-driven ones are flexible, can capture unknown nonlinearities but require large data amounts 🔍 ↻

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Traffic congestion



Traffic jam in Beijing

- Traffic congestion is a main contributor of *air pollution and excessive travel time*
- Congestion often appears without any accident: *small perturbations amplify*⁴
- Traffic behaves like a compressible fluid: it *self-organizes* into shocks

⁴Sugiyama, Fukui, Kikuchi, Hasebe, Tanaka, Tomoeda, and Tsuchiya 2008.

Application to traffic flow monitoring

- **Follow-the-Leader** (FtL), **microscopic** first order model
⇒ dynamics of *each vehicle depend on vehicle immediately in front*

$$\begin{cases} \frac{d}{dt}x_N^N(t) = v_{\max}, & t > 0, \\ \frac{d}{dt}x_i^N(t) = v \left(\frac{L}{N(x_{i+1}^N(t) - x_i^N(t))} \right), & t > 0, \quad i = 0, \dots, N-1 \\ x_i^N(0) = \bar{x}_i^N, & i = 0, \dots, N \end{cases} \quad (5)$$

✓ encodes individual movements

✗ requires more data

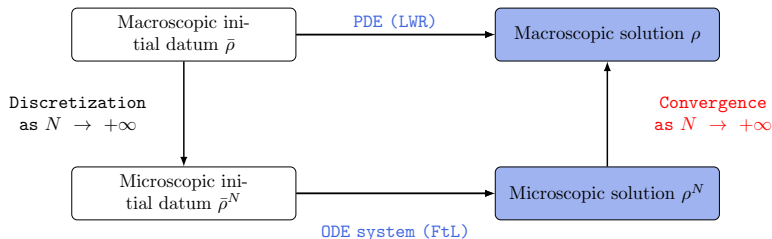
- **Lighthill-William-Richards** (LWR), **macroscopic** traffic flow model
⇒ vehicles treated as a *continuous medium similar to particles in fluid*

$$\begin{cases} \frac{\partial}{\partial t}\rho(t, x) + \frac{\partial}{\partial x}f(\rho)(t, x) = 0, & x \in \mathbb{R}, \quad t > 0, \\ \rho(0, x) = \rho_0(x), & x \in \mathbb{R} \end{cases} \quad (6)$$

✓ less data-intensive

✗ oversimplifies traffic phenomena

- **Convergence analysis of FtL approximation⁵ scheme towards LWR model⁶**
- Solution of PDE (6) can be recovered as **many particle limit⁷** of ODE system (??)



Coupled Resolution of a Microscopic ODE System and a Macroscopic PDE

⁵Link between FtL and LWR based on atomisation of initial density ρ_0

$$\bar{x}_{i+1}^N := \sup \left\{ x \in \mathbb{R} : \int_{\bar{x}_i^N}^x \rho_0(y) dy = \frac{L}{N} \right\}, \quad i = 0, \dots, N-1 \quad (7)$$

⁶Holden and Risebro 2019.

⁷Di Francesco and Rosini 2017.

- **Sparse probe data:** $n \ll N$ and only **initial** and **final** positions are collected
- **Approximate density reconstruction**⁸ $\Rightarrow$ find **optimal** interaction parameter α

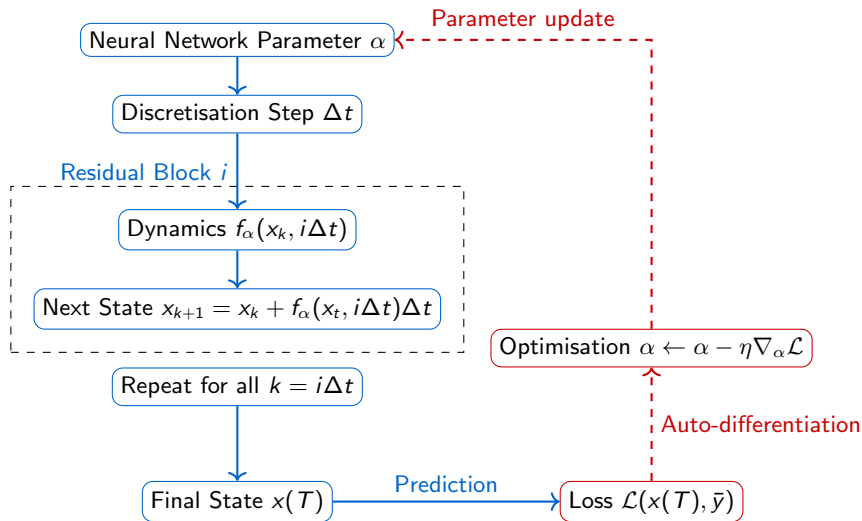
$$\begin{aligned} & \underset{\alpha}{\text{minimise}} \quad \frac{1}{2} \sum_{i=0}^n |x_i(T) - \bar{y}_i|^2 \\ & \text{s.t.} \quad \frac{d}{dt}x(t) = V(W_\alpha x(t) + b_\alpha(t)) \\ & \quad x_i(0) = \bar{x}_i \\ & \quad \alpha \in \mathcal{A}^N \end{aligned} \tag{8}$$

- **Existence of solutions** guaranteed by assumptions on $V := v \circ \frac{1}{\cdot}$ and $\mathcal{A}^N := \left\{ \alpha \in \mathbb{R}^n, \quad \alpha_i \in \left[1, \min \left\{ \left(\bar{x}_{i+1}^N - \bar{x}_i^N \right) / L, N \left(\bar{y}_{i+1}^N - \bar{y}_i^N \right) / L \right\} \right], \quad \sum_{i=0}^{n-1} \alpha_i = N \right\}$
- No uniqueness (a priori) since **nonlinear dynamics can lead to multiple minima**

⁸Baloul, Hayat, Liard, and Lissy 2025.

Neural Network for Constrained Optimisation

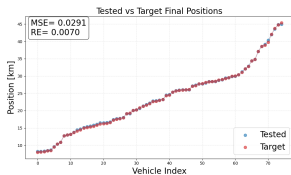
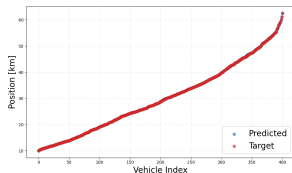
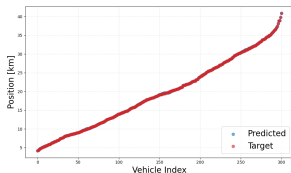
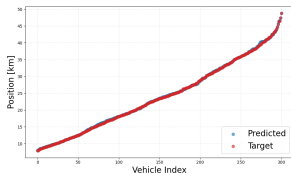
Learning Architecture



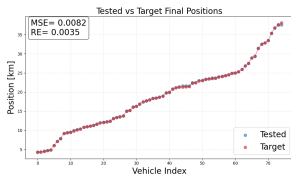
→ Forward process
--> Backward propagation

Numerical experiments

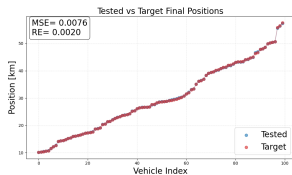
Stop-and-go wave characterized by alternating regions of congestion and free flow
Sampling such 10% of total fleet serve as PVs for training and 2.5% for testing



(a) $N = 2000$



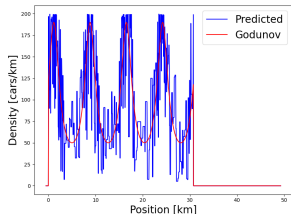
(b) $N = 3000$



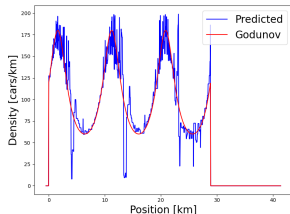
(c) $N = 4000$

Comparison of **predicted** and **target** final PV positions
Top Results from training procedure
Bottom Results on test sounds

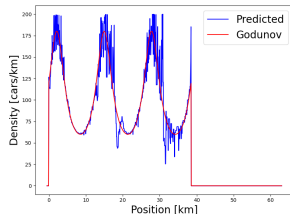
Numerical experiments



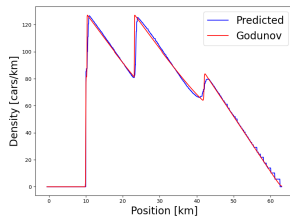
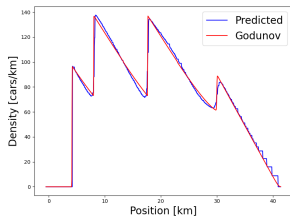
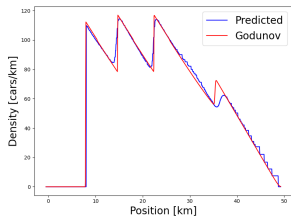
(a) $N = 2000$



(b) $N = 3000$



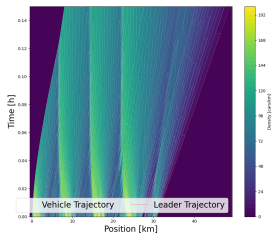
(c) $N = 4000$



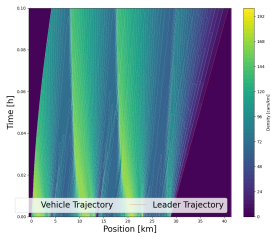
Comparison of **reconstructed** and **macroscopic** densities

Top Initial densities
Bottom Final densities

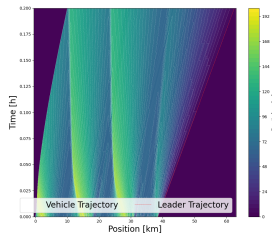
Numerical experiments



(a) $N = 2000$



(b) $N = 3000$



(c) $N = 4000$

Comparison of **reconstructed** and **macroscopic** densities
Top Reconstructed density from learning-based optimisation
Bottom Macroscopic density from LWR PDE (Godunov scheme)

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A Mosquito

- *Aedes aegypti*^a
- Mosquito del dengue
- Mosquito momia
- Mosquito de la fiebre amarilla

REINO	Animalia
FILO	Arthropoda
CLASE	Insecta
ORDEN	Diptera
FAMILIA	Culicidae
GÉNERO	Aedes
ESPECIE	<i>Aedes aegypti</i>

^aprimary vector of dengue and also transmits Zika and chikungunya, a serious and recurrent public-health burden in Cuba, as across much of the tropics.



^a

^ano specific antiviral treatment and no broadly deployed vaccine: the only way to reduce transmission is to reduce the mosquito population itself.

Sterile Insect Technique (SIT)

Joint work with Luis Almeida, Jean-Michel Coron, René Gato Armas and Amaury Hayat

- **Release sterile males** into a wild mosquito population
- They compete for mating; **matings with sterile males yield no viable offspring**
- Used *against* disease vectors (dengue, Zika, malaria)

Four-compartment system of ODE: eggs E , females F , wild males M , sterile males M_s

$$\dot{E} = \beta_E F \left(1 - \frac{E}{K}\right) \frac{M}{M + \gamma M_s} - (\nu_E + \delta_E) E \quad (9)$$

$$\dot{F} = \nu \nu_E E - \delta_F F \quad (10)$$

$$\dot{M} = (1 - \nu) \nu_E E - \delta_M M \quad (11)$$

$$\dot{M}_s = u(t) - \delta_s M_s \quad (12)$$

Control question

Design a release rate $u(t) \geq 0$ that drives the wild population to (and keeps it at) zero, at minimal cost

Operational question

Determine *when* and *how many* sterile males to release over time

Observation of SIT model

- Our goal is to learn a release policy $u(t)$ that meets simultaneously
 - (C1) drive the female population to near zero
 - (C2) stop releasing by the end of the campaign
 - (C3) leave no residual stock of sterile males
- Crucially, the controller **does not observe the true population** state directly
⇒ **only partial, periodic field measurements** (traps): this a *partially observed* problem

Observation

- The controller sees the egg signal (an "ovitrap" integral)

$$E_n := \int_{T_{n-1}}^{T_n} \beta_E F(t) \left(1 - \frac{E(t)}{K}\right) dt \quad (13)$$

and/or an adult-trap signal $M + M_s$ (wild and sterile males lumped together)

- Sampling at their own periods, not continuously
⇒ a key modelling distinction separates the *measurement* frequency T_{obs} from the *release* frequency T_{rel}

Reward

- Reward is modular: a penalty on females (C1), a penalty on residual sterile males (C3), a quadratic release cost (C2)
 - Two refinements proved important: the *scale of the release cost*, and *normalizing state penalties by the endemic equilibrium* rather than by carrying capacity K .
-
- We train a **PPO agent** ⁹
 - A run produces a **policy network mapping observations** to a release rate
 - Assess elimination rate, final female level, release cost, and time-to-elimination ¹⁰

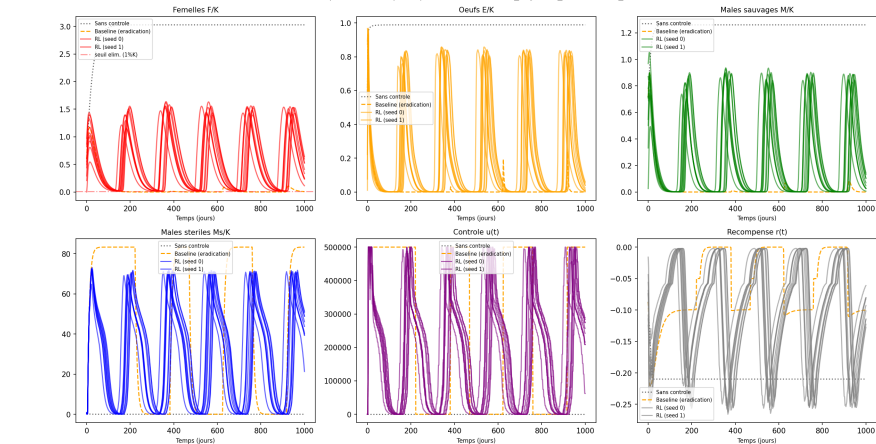
⁹Proximal Policy Optimisation, Stable-Baselines3 on a cluster (e.g. 20 million environment steps per run)

¹⁰Evaluation is done offline over 200 random initial conditions

Numerical experiments

Run	$M + Ms$	Élim.	$F(T)/K$	Coût $\int u dt$	Récomp.	t_{elim}
S1	×	0 %	0.931	8.86×10^7	-50.32	1000

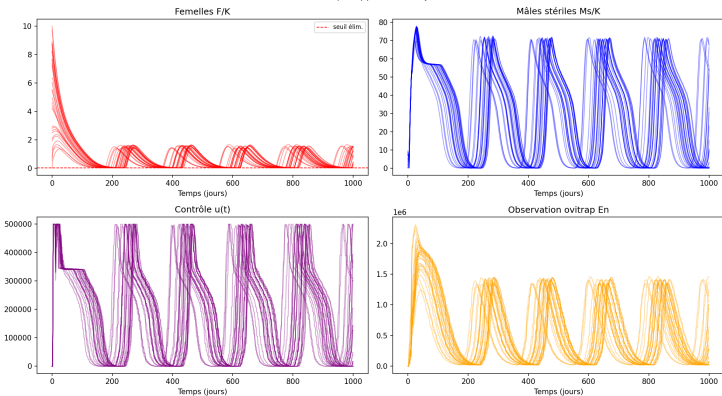
Comparaison des politiques — 1782202487_23Jun26_10h14m47s_8



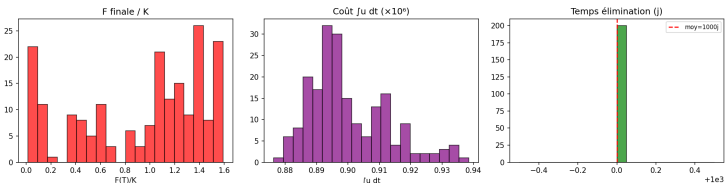
S1

Numerical experiments

803033 — Politique apprise (30 trajectoires)



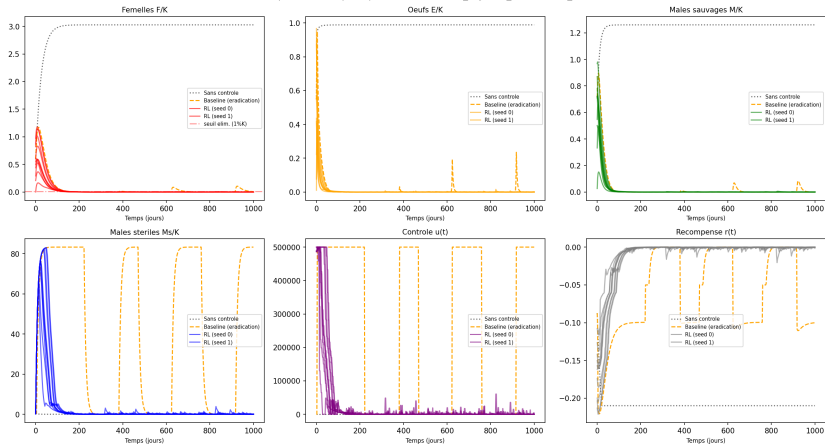
803033 — Distributions sur 200 épisodes



Numerical experiments

Run	$M + Ms$	Élim.	$F(T)/K$	Coût $\int u dt$	Récomp.	t_{elim}
S2	✓	100 %	0.001	1.11×10^7	-4.91	199

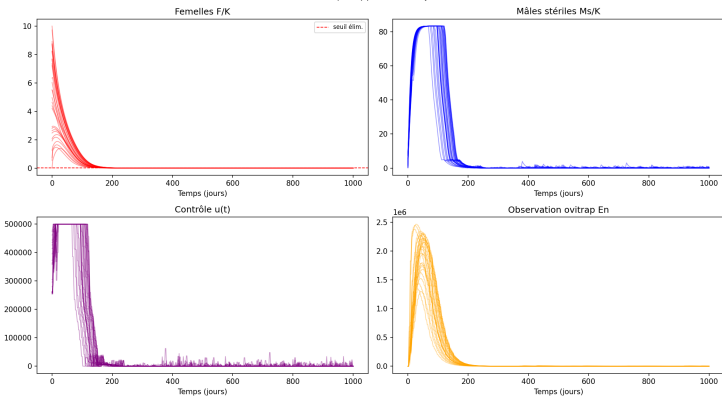
Comparaison des politiques — 1782202484_23Jun26_10h14m44s_8



S2

Numerical experiments

803029 — Politique apprise (30 trajectoires)



803029 — Distributions sur 200 épisodes

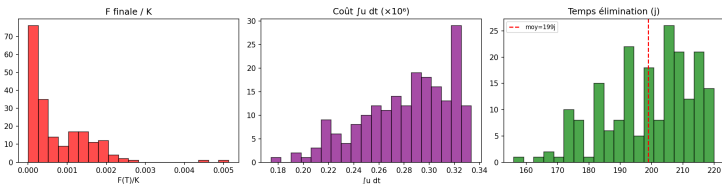


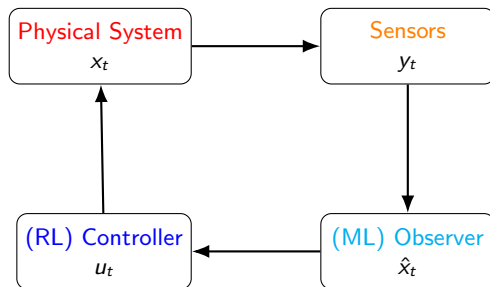
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Two applications, one similar problem

	Traffic	Mosquitoes
Hidden state	Traffic density	Population stages
Observations	Sensors / cameras	Traps / environmental data
Dynamics	Traffic flow PDE/ODE	Population dynamics
Control	Lights / speed / ramps	Interventions
Objective	Reduce congestion/pollution	Reduce population/cost

A general architecture



Joint work with Kala Agbo Bidi, Thibault Liard and Pierre Lissy

- Segment $[0, G]$, $G > 0$, with a *toll gate at each end* governed by

$$\begin{aligned}\partial_t \rho(t, x) + \partial_x f(\rho)(t, x) &= 0, & x \in (0, G), & \quad t \in \mathbb{R}_+, \\ f(\rho(t, 0)) &\leq F_1(t, \rho), & t \in \mathbb{R}_+, \\ f(\rho(t, G)) &\leq F_2(t, \rho), & t \in \mathbb{R}_+, \\ \rho(0, x) &= \rho_0(x), & x \in (0, G),\end{aligned}\tag{14}$$

- Choose F_1, F_2 so $\rho(t, \cdot)$ is driven (and stays) at **prescribed target profile** $w(\cdot)$
- Feedback law may need¹¹ $m(t) = \int_0^G (\rho(t, x) - w(x)) dx$ **at every instant**
- In practice, only a **handful of sensors**: **situation from reconstruction part**
- **Goal**: replace $\rho(t, \cdot)$ in $m(t)$ by the **reconstructed** density $\rho^N(t, \cdot)$
 - $\Rightarrow$ assess whether the *same convergence* to $w(\cdot)$ still holds
 - $\Rightarrow$ **deploy RL method** to obtain suitable controllers

¹¹Liard, Marx, and Perrollaz 2023.

- Conservation law with **moving bottleneck**¹² (autonomous vehicle)

$$\begin{cases} \text{LWR PDE (6) with} \\ f(\rho(t, y(t))) - \dot{y}(t)\rho(t, y(t)) \leq \frac{\alpha \rho_{\max}}{4v_{\max}} (v_{\max} - \dot{y}(t))^2, & t > 0, \\ \dot{y}(t) = \omega(\rho(t, y(t)_+)), & t > 0, \\ y(0) = y_0 \end{cases} \quad (15)$$

- Network with a junction**¹³ J and N incoming roads and M outgoing ones

$$\begin{cases} \partial_t \rho_l(t, x) + \partial_x (f(\rho_l(t, x))) = 0, & t > 0, \quad x \in I_l, \quad l = 1, \dots, N+M \\ \rho_l(0, x) = \rho_{0,l}(x), & x \in I_l = [a_l, b_l], \quad l = 1, \dots, N+M \end{cases} \quad (16)$$

$$\begin{aligned} \Rightarrow \sum_{i=1}^N f(\rho_i(t, (b_i)_-)) &= \sum_{j=N+1}^{N+M} f(\rho_j(t, (a_j)_+)) \quad (\text{Rankine Hugoniot}) \\ \Rightarrow \sum_{i=1}^N f(\rho_i(t, (b_i)_-)) &\text{ is maximized}^{14} \text{ s.t. } f(\rho_j(\cdot, (a_j)_+)) = \sum_{i=1}^N a_{j,i} f(\rho_i(\cdot, (b_i)_-)) \end{aligned}$$

¹²Liard and Piccoli 2021.

¹³Coclite, Piccoli, and Garavello 2005.

¹⁴Garavello and Piccoli 2006.

Perspectives for Control of Mosquito Populations

- Only a few countries (e.g., Cuba, China, Greece, Singapore, USA) currently deploy SIT at field scale. **Optimal, adaptive control of these campaigns is still to be built**
- **Multi-site and spatiality** (control on graphs, reaction–diffusion PDE, optimal budget allocation across sites)
- **Robustness and uncertainty**: poorly known biological parameters, seasonality, variable sterile-male competitiveness, robust and uncertainty-aware control

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- Dataset consists of **artificial data** based on simulated (classical) FtL dynamics (5)
- Sampling of PVs yielding a **balanced representation** of overall traffic
- Neural network architecture designed to **understand dynamics of traffic**
- Residual network (ResNet) where **each block corresponds to a single time step**
- Input $\bar{x}$ and state $x(\cdot)$ is **propagated by mirroring Euler discretisation**

$$x(t + \Delta t) = x(t) + V(Wx(t) + b)\Delta t \quad (17)$$

- Weights and biases W, b are functions of α

$$\begin{cases} W_{i,i} &:= -\frac{N}{\alpha_i L}, i = 0, \dots, n-1, \\ W_{i,i+1} &:= \frac{N}{\alpha_i L}, i = 1, \dots, n-2, \\ W_{i,j} &:= 0, \text{otherwise,} \end{cases} \quad (18)$$

$$b_i(t) := \delta_{i,n} \frac{N}{\alpha_{n-1} L} \left(v_{\max} t + \bar{x}_n^N \right), \quad t \in [0, T] \quad (19)$$

- Nonlinear dynamic map V acts as physics grounded activation function
- **Backpropagation to minimise predictions errors** $\frac{1}{n} \sum_{j=0}^n |x_j^\alpha(T) - \bar{y}_j^N|^2$

- Through predicted parameter $\bar{\alpha}$, training yields piecewise constant discrete density

$$\rho^N(t, x) = \sum_{i=0}^{n-1} \frac{\bar{\alpha}_i L}{N(x_{i+1}^N(t) - x_i^N(t))} \chi_{[x_i^N(t), x_{i+1}^N(t))}(x), \quad x \in \mathbb{R}, \quad t \in [0, T], \quad (20)$$

- Simulation on **test data** by solving ODE system

$$\begin{cases} \dot{x}_i^N(t) = v(\rho^N(t, x_i(t)^+)), & t \in (0, T], \\ x_i^N(0) = \bar{x}_i^N & i = 0, \dots, n_{\text{test}} \end{cases} \quad (21)$$

- Through predicted parameter $\bar{\alpha}$, training yields piecewise constant discrete density

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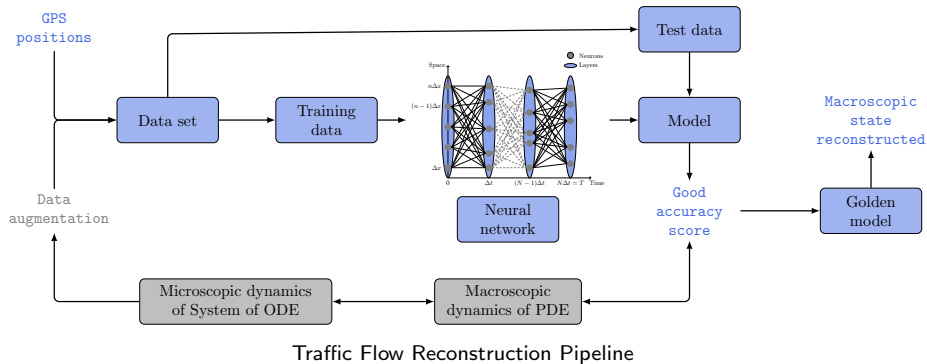
$$\rho^N(t, x) = \sum_{i=0}^{n-1} \frac{\bar{\alpha}_i L}{N(x_{i+1}^N(t) - x_i^N(t))} \chi_{[x_i^N(t), x_{i+1}^N(t))}(x), \quad x \in \mathbb{R}, \quad t \in [0, T], \quad (20)$$

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- Assess model's performance by measuring test error $\frac{1}{n_{\text{test}}} \sum_{j=0}^{n_{\text{test}}} |x_j(T) - \bar{y}_i^N|^2$

Scheme of Model



Outline of Convergence Analysis

- Prove that, **if only using data from dynamical systems**, approximate density ρ^N **predicted by our machine learning** model converges to solution of the LWR model (6) when the number of vehicles approaches infinity
- Main challenge lies in imposing a **condition on distribution of α** which would guarantee convergence
- Demonstrate that discrete initial density $\rho^N(0, \cdot)$ converges to the initial condition ρ_0 in the LWR model (6) under this additional assumption
- In addition to Euler discrete density (??), consider empirical discrete density

$$\hat{\rho}^N(t, \cdot) := \frac{L}{N} \sum_{i=0}^{n-1} \alpha_i^N \delta_{x_i(t)}(\cdot), \quad t \in [0, T]. \quad (22)$$

- **Important observation:** by construction, initial traffic density must satisfy

$$\bar{x}_{i+1} = \sup \left\{ x \in \mathbb{R} : \int_{\bar{x}_i}^x \rho_0(y) dy \leq \frac{\alpha_i L}{N} \right\}, \quad i = 0, \dots, n-1 \quad (23)$$

⇒ although no access to ground-truth initial car density ρ_0 , initial positions $\bar{x}_i$ of probe vehicles verify (23) (i.e. number of unobserved vehicles between $[x_i, x_{i+1})$ is given by α_i)

Convergence Result

- Weak solution of (6) is **entropy admissible** if it satisfies **Kruzhkov entropy condition**

$$\int_0^T \int_{\mathbb{R}} |u - k| \frac{\partial \phi}{\partial t} + \text{sign}(u - k)(f(u) - f(k)) \frac{\partial \phi}{\partial x} dx dt \geq 0, \quad \forall k \in \mathbb{R} \quad (24)$$

Theorem Convergence of approximate density to solution of LWR

Under some assumptions, piecewise-constant density

$$\rho^N(t, x) = \sum_{i=0}^{n-1} \frac{\bar{\alpha}_i^N L}{N(x_{i+1}^N(t) - x_i^N(t))} \chi_{[x_i^N(t), x_{i+1}^N(t))}(x), \quad x \in \mathbb{R}, \quad t \in [0, T], \quad (25)$$

where $\bar{\alpha}_i^N \in \mathcal{A}^N$ is a solution to (8) converges to **unique entropy** solution ρ of

$$\begin{aligned} \frac{\partial \rho}{\partial t}(t, x) + \frac{\partial f(\rho)}{\partial x}(t, x) &= 0, \quad x \in \mathbb{R}, \quad t \in [0, T], \\ \rho(0, x) &= \rho_0(x), \quad x \in \mathbb{R} \end{aligned} \quad (26)$$

- Impose a condition that ensures **controlled growth of α_N**

Sketch of proof

- Ensures **discretisation aligns consistently with true initial density** when $N \rightarrow \infty$
- Notations: for $t \in [0, T]$, $\rho(t) := \rho(t, \cdot)$ and $\widehat{\rho}(t) := \widehat{\rho}(t, \cdot)$.
In particular, at $t = 0$, $\rho(0) := \rho(0, \cdot)$ and $\widehat{\rho}(0) := \widehat{\rho}(0, \cdot)$
- Use **Wasserstein distance** defined in Di Francesco and Rosini 2017 by

$$W_{L,1}(f, g) = \|f([\cdot - \infty, \cdot]) - g([\cdot - \infty, \cdot])\|_{L^1(\mathbb{R}, \mathbb{R})} \quad (27)$$

Proposition

Let ρ_0 satisfy (23) and assume that

$$\max_{i=0, \dots, n-1} \alpha_i^N = o(N) \quad (28)$$

Then, both sequences $(\rho^N(0))_{n \in \mathbb{N}}$ and $(\widehat{\rho}^N(0))_{n \in \mathbb{N}}$ converge to ρ_0 in the sense of the $W_{L,1}$ -Wasserstein distance in (27)

Remark

A particular case of assumption (28) is when $\max_{i=0, \dots, n-1} \alpha_i^N \leq \frac{CN}{\log(N)}$ for some $C > 0$

Sketch of proof

- Using $l_N := L/N$, $W_{L,1}$ - distance and discrete density in (??)

$$\begin{aligned}
 W_{L,1}(\rho^N(0), \hat{\rho}^N(0)) &= \sum_{i=0}^{n-1} \int_{\bar{x}_i}^{\bar{x}_{i+1}^N} \left(\alpha_i^N l_N - \rho_i^N(t) (x - \bar{x}_i) \right) dx \\
 &= \sum_{i=0}^{n-1} \alpha_i^N l_N \int_{\bar{x}_i}^{\bar{x}_{i+1}} \left(1 - \frac{x - \bar{x}_i}{\bar{x}_{i+1} - \bar{x}_i} \right) dx \\
 &\leq \max_{i=0, \dots, n} \{ \alpha_i^N \} l_N (\bar{x}_n - \bar{x}_0)
 \end{aligned} \tag{29}$$

⇒ it suffices to prove that $(\hat{\rho}^N(0))_{n \in \mathbb{N}}$ converges to ρ_0 wrt $W_{L,1}$ - distance

- Using expressions of both Euler (??) and empirical (22) discrete densities

$$\begin{aligned}
 &W_{L,1}(\hat{\rho}^N(0), \rho_0) \\
 &= \sum_{i=0}^{n-2} \int_{\bar{x}_i}^{\bar{x}_{i+1}} \left(\sum_{j=0}^i \alpha_j^N l_N - \int_{-\infty}^x \rho_0(y) dy \right) dx + \int_{\bar{x}_{n-1}}^{\bar{x}_n} \left(L - \int_{-\infty}^x \rho_0(y) dy \right) dx \\
 &= \sum_{i=0}^{n-2} \int_{\bar{x}_i}^{\bar{x}_{i+1}} \left(\left(\sum_{j=0}^{i-1} \alpha_j^N l_N - \int_{\bar{x}_0}^{\bar{x}_i} \rho_0(y) dy \right) + \left(\alpha_i^N l_N - \int_{\bar{x}_i}^x \rho_0(y) dy \right) \right) dx \\
 &\quad + \int_{\bar{x}_{n-1}}^{\bar{x}_n} \left(\sum_{j=0}^{n-2} \alpha_j^N l_N - \int_{\bar{x}_0}^{\bar{x}_{n-1}} \rho_0(y) dy \right) + \left(\alpha_{n-1}^N l_N - \int_{\bar{x}_{n-1}}^x \rho_0(y) dy \right) dx
 \end{aligned}$$

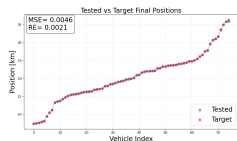
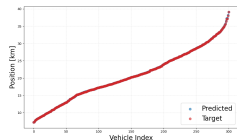
- From atomisation of initial density (23), deduce

$$\begin{aligned}
 & W_{L,1}(\hat{\rho}^N(0), \rho_0) \\
 & \leq \sum_{i=0}^{n-2} \int_{\bar{x}_i}^{\bar{x}_{i+1}} \left(\alpha_i^N l_N - \int_{\bar{x}_i}^x \rho_0(y) dy \right) dx + \int_{\bar{x}_{n-1}}^{\bar{x}_n} \left(\alpha_{n-1}^N l_N - \int_{\bar{x}_{n-1}}^x \rho_0(y) dy \right) dx \\
 & = \sum_{i=0}^{n-1} \alpha_i^N l_N \int_{\bar{x}_i}^{\bar{x}_{i+1}} \left(1 - \frac{1}{\alpha_i^N l_N} \int_{\bar{x}_i}^x \rho_0(y) dy \right) dx \\
 & \leq \max_{i=0, \dots, n} \left\{ \alpha_i^N \right\} l_N (\bar{x}_n - \bar{x}_0)
 \end{aligned}$$

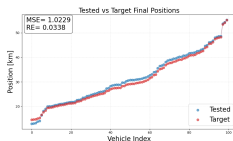
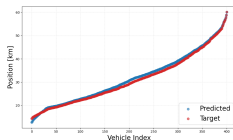
- From assumption (28) and estimate (29), conclude that $(\rho^N(0))_{n \in \mathbb{N}}$ converges to ρ_0 in sense of $W_{L,1}$ -Wasserstein distance
- Moreover, by leveraging expression of discrete density (??), generalize convergence to unique entropy solution of conservation law (6), referring to Di Francesco and Rosini 2017, Theorem 3 $\Rightarrow$ require only minor modifications to original arguments

- Parameters
 - Maximum traffic speed $v_{\max} = 120$ km/h
 - Maximum traffic density $\rho_{\max} = 200$ cars/km
 - Greenshields velocity $v(\rho) = v_{\max} \max \left\{ 1 - \frac{\rho}{\rho_{\max}}, 0 \right\}$, $\rho \in [0, \rho_{\max}]$
 - Final time horizon $T = 0.1$ h or $T = 0.2$
- Sampling such 10% **of total fleet serve as PVs for training and 2.5% for testing**
- Two traffic scenarii modelled
 - 1 Shock wave represents an abrupt transition in traffic conditions
 - 2 Stop-and-go wave characterized by alternating regions of congestion and free flow

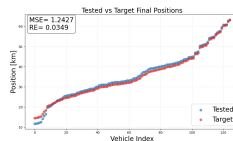
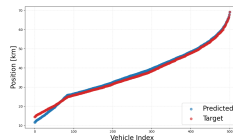
Shock wave scenario



(a) $N = 3000$



(b) $N = 4000$



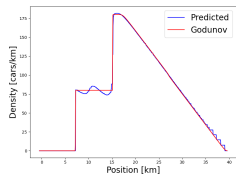
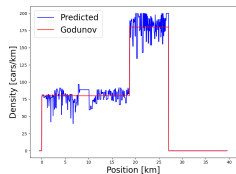
(c) $N = 5000$

Comparison of **predicted** and **target** final PV positions

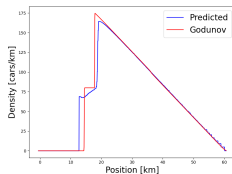
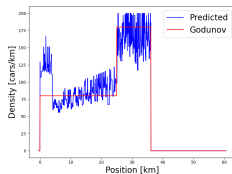
Top Results from **training** procedure

Bottom Results on **test** sounds

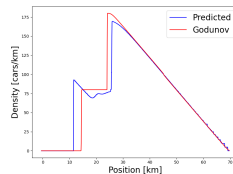
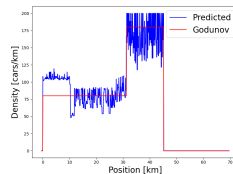
Shock wave scenario



(a) $N = 3000$



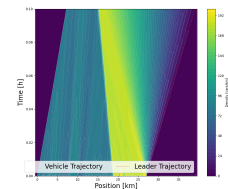
(b) $N = 4000$



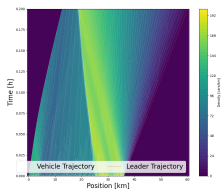
(c) $N = 5000$

Comparison of **reconstructed** and **macroscopic** densities
Top Initial densities
Bottom Final densities

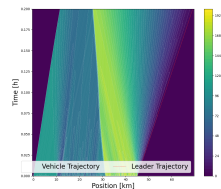
Shock wave scenario



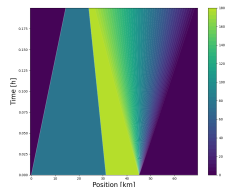
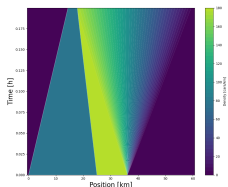
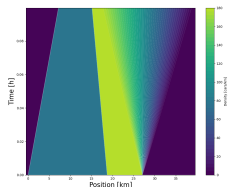
(a) $N = 3000$



(b) $N = 4000$

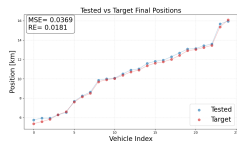
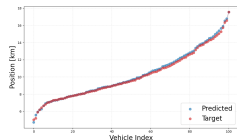


(c) $N = 5000$

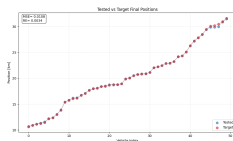
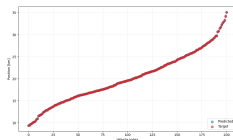


Comparison of **reconstructed** and **macroscopic** densities
Top Reconstructed density from learning-based optimisation
Bottom Macroscopic density from LWR PDE (Godunov scheme)

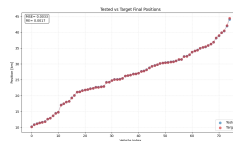
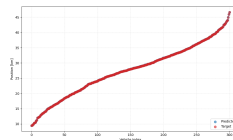
Rarefaction wave scenario



(a) $N = 1000$



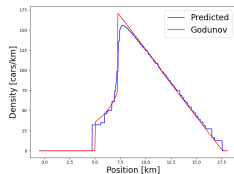
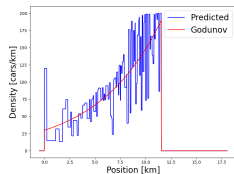
(b) $N = 2000$



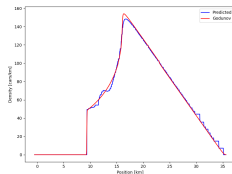
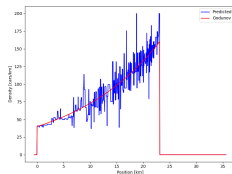
(c) $N = 3000$

Comparison of **predicted** and **target** final PV positions
Top Results from training procedure
Bottom Results on test sounds

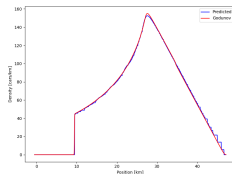
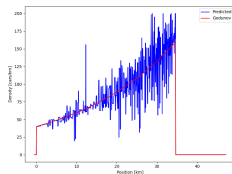
Rarefaction wave scenario



(a) $N = 1000$



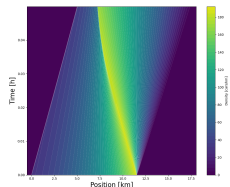
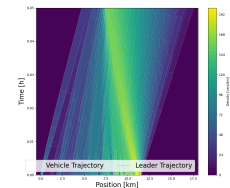
(b) $N = 2000$



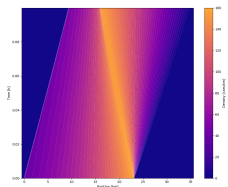
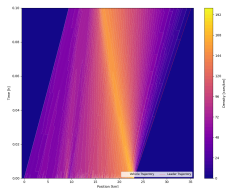
(c) $N = 3000$

Comparison of **reconstructed** and **macroscopic** densities
Top Initial densities
Bottom Final densities

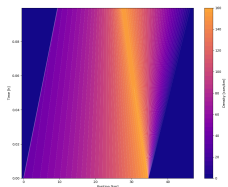
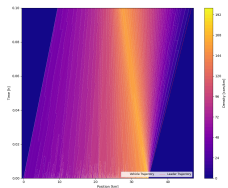
Rarefaction wave scenario



(a) $N = 1000$



(b) $N = 2000$



(c) $N = 3000$

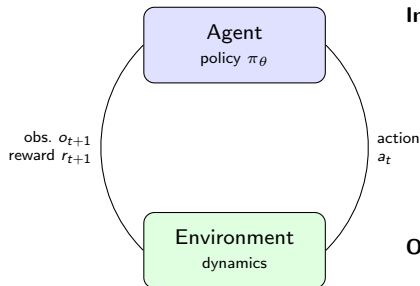
Comparison of **reconstructed** and **macroscopic** densities
Top Reconstructed density from learning-based optimisation
Bottom Macroscopic density from LWR PDE (Godunov scheme)

- **The adult-trap signal is necessary:** (as it stands) observing the sterile-male stock is not a refinement, it is a condition for control
- **Control needs a "target" signal and a "lever" signal:** no single signal suffices: the agent needs both information about the *target* (the female population, via F or its egg proxy E) *and* about the *lever* (the sterile-male stock, via $M + M_s$).¹⁵
- **The information bottleneck is near extinction:** the agent drives females very low but cannot *finish*¹⁶ because, blind to the sterile-male stock, it cannot fine-tune the terminal phase; this is a genuine observability limitation

¹⁵ notably, a direct female signal F is a *more informative* target than eggs E (which are only an upstream precursor), though neither suffices alone

¹⁶ it stabilizes just above the elimination threshold

Reinforcement Learning: structure



The agent acts, the environment returns an observation and a reward; the loop repeats.

Ingredients

- **State** s_t — true system state (E, M, F, M_s)
- **Observation** o_t — what is measured (traps); partial
- **Action** a_t — the decision (release rate u)
- **Reward** r_t — scalar score (eliminate F , limit cost)
- **Policy** $\pi_\theta(a | o)$ — learned map obs. $\rightarrow$ action

Objective

$$\max_{\theta} \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \right]$$

maximise the expected discounted sum of rewards
($\gamma \in (0, 1)$: weights the future).

How it learns (PPO). No model is assumed: the agent *samples* trajectories by interacting, estimates which actions led to higher return, and nudges π_θ that way — repeatedly, over millions of steps. Here the observation is *partial* (traps only), so the policy maps measurements, not the true state, to releases.